

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Peter R. Baum, William C.  
Fanslow III, Timothy E. Lofton, Eric A.  
Sorensen, and Adel Youakim

Docket No.: 3101-A

Group Art Unit: 1644

Serial No: 09/972,268

Examiner: Maher M. Haddad

Filed: October 5, 2001

For: NECTIN POLYPEPTIDES

Commissioner for Patents  
Washington, D.C. 20231

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RESPONSE TO RESTRICTION REQUIREMENT AND PRELIMINARY AMENDMENT

This paper is submitted in response to the Restriction Requirement dated June 18, 2002 (Paper 7, the "Office Action").

Restriction/Election

Applicants elect group III, claims 1-11 and 19 drawn to the Nectin-3 polypeptide of SEQ ID NO:6, with traverse as discussed below. Non-elected claims 12-18 and 20-53 have been canceled. Claims 54-58, consistent with this election, have been added.

In accordance with the election of species stated at page 46 of the Office Action, Applicants elect the species of claim 6(a) as that claim has been amended to read, to which claims would be restricted *if* no generic claim is finally held to be allowable. Claims 1-6, 9-11, 19, and new claims 54-58 read upon the elected species of claim 6(a).

Applicants have elected group III. Although the Office Action stated at page 46 that these groups I-VI and VIII are different products, **in fact the Nectin-3 polypeptides of SEQ ID NOs 2, 4, 6, 8, 10, 12, and 31 (corresponding to groups I-VI and VIII) are essentially identical throughout the portion of these polypeptides to which the pending claims are directed**, i.e. polypeptides comprising extracellular, nectin-1-binding, sequences of Nectin-3 polypeptides. The specification states at page 4, lines 38-39:

Nectin-3 $\alpha$ ,  $\beta$ , and  $\gamma$  are related to each other as the products of alternative splicing: the N-terminal 356 amino acids of the full-length amino acid sequences of these polypeptides are identical.

SEQ ID NOs 2, 4, and 6 (Nectin-3 $\alpha$ ), 8, 10, and 12 (Nectin-3 $\beta$ ), and 31 (Nectin-3 $\gamma$ ) are all identical throughout their N-terminal 356 amino acids as shown by the alignment presented

below, except for some minor differences within the first seven amino acids at the N-terminus. Because these polypeptides contain a signal sequence which is cleaved off at a position following amino acid 50 (see the specification at page 4, line 39 through page 5, line 5), the N-terminal region containing the slight differences between SEQ ID NOs 2, 4, 6, 8, 10, 12, and 31 (amino acids 1-7) is not predicted to be present in mature Nectin-3 polypeptides.

Therefore, a claim which recites "amino acids 58 through 152 of SEQ ID NO:4, 6, 10, 12, or 31" is referring to a *single* amino acid sequence, because as can be seen from the alignment below, amino acids 58 through 152 of SEQ ID NO:4 are *identical* to amino acids 58 through 152 of SEQ ID NO:6, and are *identical* to amino acids 58 through 152 of SEQ ID NO:10, etc. Because of the identity or near-identity of the amino acid sequences to which the claims as amended are directed, searching and examining the amino acid sequences corresponding to Groups I-VI and VIII would *not* be unduly burdensome to the Examiner.

For at least the above reasons, Applicants respectfully traverse the restriction between Groups I-VI and VIII and request reconsideration and withdrawal of the restriction requirement with respect to groups I-VI and III.

### Alignment of SEQ ID NOs 2, 4, 6, 8, 10, 12, and 31:

		1				50
NO2	NEC3ALPHA	~~~~~SPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO4	NEC3ALPHA	MARTpgPSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO6	NEC3ALPHA	MARTlrPSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO8	NEC3BETA	~~~~~PSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO10	NEC3BETA	MARTpgPSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO12	NEC3BETA	MARTlrPSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
NO31	NEC3GAMMA	MARTlrPSPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
	Consensus	-----SPL	CPGGGKAQLS	SASLLGAGLL	LQPPTPPPLL	LLLFPLLLFS
		51				100
NO2	NEC3ALPHA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO4	NEC3ALPHA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO6	NEC3ALPHA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO8	NEC3BETA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO10	NEC3BETA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO12	NEC3BETA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
NO31	NEC3GAMMA	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
	Consensus	RLCGALAGPI	IVEPHVTAVW	GKNVSLKCLI	EVNETITQIS	WEKIHGKSSQ
		101				150
NO2	NEC3ALPHA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO4	NEC3ALPHA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO6	NEC3ALPHA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO8	NEC3BETA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO10	NEC3BETA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO12	NEC3BETA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
NO31	NEC3GAMMA	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
	Consensus	TVAVHHPQYG	FSVQGEYQGR	VLFKNYSLND	ATITLHNIGF	SDSGKYICKA
		151				200
NO2	NEC3ALPHA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
NO4	NEC3ALPHA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
NO6	NEC3ALPHA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
NO8	NEC3BETA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
NO10	NEC3BETA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP

Response to Restriction Request  
And Preliminary Amendment

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NO12	NEC3BETA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
NO31	NEC3GAMMA	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP
	Consensus	VTFPLGNAQS	STTVTVLVEP	TVSLIKGPDS	LIDGGNETVA	AICIAATGKP

		201				250
NO2	NEC3ALPHA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO4	NEC3ALPHA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO6	NEC3ALPHA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO8	NEC3BETA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO10	NEC3BETA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO12	NEC3BETA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
NO31	NEC3GAMMA	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH
	Consensus	VAHIDWEGDL	GEMESTTTSF	PNETATIISQ	YKLFPTRFAR	GRRITCVVKH

		251				300
NO2	NEC3ALPHA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO4	NEC3ALPHA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO6	NEC3ALPHA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO8	NEC3BETA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO10	NEC3BETA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO12	NEC3BETA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
NO31	NEC3GAMMA	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF
	Consensus	PALEKDIRYS	FILDIQYAPE	VSVTGYDGNW	FVGRKGVNLK	CNADANPPPF

		301				350
NO2	NEC3ALPHA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO4	NEC3ALPHA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO6	NEC3ALPHA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO8	NEC3BETA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO10	NEC3BETA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO12	NEC3BETA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
NO31	NEC3GAMMA	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ
	Consensus	KSVWSRLDGQ	WPDGLLASDN	TLHFVHPLTF	NYSGVYICKV	TNSLGQRSQDQ

		351				400
NO2	NEC3ALPHA	KVIYISDpPt	ttTlqptiqw	hpStadiedl	atepkklpFp	lstlaTikdd
NO4	NEC3ALPHA	KVIYISDpPt	ttTlqptiqw	hpStadiedl	atepkklpFp	lstlaTikdd
NO6	NEC3ALPHA	KVIYISDpPt	ttTlqptiqw	hpStadiedl	atepkklpFp	lstlaTikdd
NO8	NEC3BETA	KVIYISDVPF	KQT.....	..SSIAVAGA	VIGAVLALFI	IAIFVTVL.L
NO10	NEC3BETA	KVIYISDVPF	KQT.....	..SSIAVAGA	VIGAVLALFI	IAIFVTVL.L
NO12	NEC3BETA	KVIYISDVPF	KQT.....	..SSIAVAGA	VIGAVLALFI	IAIFVTVL.L
NO31	NEC3GAMMA	KVIYISDVPF	KQT.....	..SSIAVAGA	VIGAVLALFI	IAIFVTVL.L
	Consensus	KVIYISD---	-----	-----	-----	-----

		401				450
NO2	NEC3ALPHA	TiatiaaSvv	ggalfivlvS	vlagifcyRr	rrtfrgDyF.	..aknYiPps
NO4	NEC3ALPHA	TiatiaaSvv	ggalfivlvS	vlagifcyRr	rrtfrgDyF.	..aknYiPps
NO6	NEC3ALPHA	TiatiaaSvv	ggalfivlvS	vlagifcyRr	rrtfrgDyF.	..aknYiPps
NO8	NEC3BETA	TPRKKRPSYL	DKVIDLPPTH	KPPPLYEERS	PPLPQKDLFQ	...pEhlPlq
NO10	NEC3BETA	TPRKKRPSYL	DKVIDLPPTH	KPPPLYEERS	PPLPQKDLFQ	...pEhlPlq
NO12	NEC3BETA	TPRKKRPSYL	DKVIDLPPTH	KPPPLYEERS	PPLPQKDLFQ	...pEhlPlq
NO31	NEC3GAMMA	TPRKKRPSYL	DKVIDLPPTH	KPPPLYEERS	PPLPQKDLFQ	vcvhEYt~~~

		451				500
NO2	NEC3ALPHA	dmqKESqidv	LQqde.LdSy	pdsykkENkn	pvnnlirkdy	LeepektQwn
NO4	NEC3ALPHA	dmqKESqidv	LQqde.LdSy	pdsykkENkn	pvnnlirkdy	LeepektQwn
NO6	NEC3ALPHA	dmqKESqidv	LQqde.LdSy	pdsykkENkn	pvnnlirkdy	LeepektQwn
NO8	NEC3BETA	tqfKErevgn	LQhsngLnSr	sfdyedENpv	gedgiqqmvp	LynqmcyQdr
NO10	NEC3BETA	tqfKErevgn	LQhsngLnSr	sfdyedENpv	gedgiqqmvp	LynqmcyQdr
NO12	NEC3BETA	tqfKErevgn	LQhsngLnSr	sfdyedENpv	gedgiqqmvp	LynqmcyQdr

		501				553
NO2	NEC3ALPHA	nvenlnrfer	PmdyYeDlkm	gmkfvsdehy	deneddlvsh	vdgsvisrre wyv
NO4	NEC3ALPHA	nvenlnrfer	PmdyYeDlkm	gmkfvsdehy	deneddlvsh	vdgsvisrre wyv
NO6	NEC3ALPHA	nvenlnrfer	PmdyYeDlkm	gmkfvsdehy	deneddlvsh	vdgsvisrre wyv
NO8	NEC3BETA	spgkhhqnnd	PkrvYiDpre	hyv~~~~~	~~~~~	~~~~~
NO10	NEC3BETA	spgkhhqnnd	PkrvYiDpre	hyv~~~~~	~~~~~	~~~~~
NO12	NEC3BETA	spgkhhqnnd	PkrvYiDpre	hyv~~~~~	~~~~~	~~~~~



**Preliminary Amendment**

Please enter the following amendments before examining this application.

**In the Title**

Please amend the title as shown in the rewritten version that follows:

NECTIN POLYPEPTIDES

**In the Claims**

Please cancel non-elected claims 12-18 and 20-53 without prejudice to present such claims in subsequent applications.

Please amend claims 1-6, 8-9, and 19 as shown in the rewritten version that follows:

1 (amended). A substantially purified polypeptide comprising an amino acid sequence that is at least 80% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1.

A! 2 (amended). The substantially purified polypeptide of claim 1, comprising an amino acid sequence that is at least 90% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1.

3 (amended). The substantially purified polypeptide of claim 1, comprising an amino acid sequence selected from the group consisting of:

- (a) SEQ ID NO:2, 4, 6, 8, 10, 12, and 31; and
- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1.

4 (amended). A substantially purified soluble polypeptide comprising an amino acid sequence selected from the group consisting of:

- (a) an amino acid sequence that is at least 80% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1; and

from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;  
from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 404 of SEQ ID NO:4 or 6;  
from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 404 of SEQ ID NO:4 or 6;  
from about  $x_1$  to 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;  
from about amino acid 58 to 365 of SEQ ID NO:10, 12, or 31; and  
from about amino acid 74 to 365 of SEQ ID NO:10, 12, or 31;

(b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and

(c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration;

and X is a peptide linker.

A1  
Cordell  
9 (amended). The soluble polypeptide of claim 4, wherein the polypeptide comprises a sequence selected from the group consisting of SEQ ID NO:13, 14, 15, and 16.

A2  
19 (amended). A polypeptide of claim 4 produced by culturing a recombinant host cell genetically engineered to contain a polynucleotide encoding the polypeptide of claim 4 under conditions promoting expression of said polypeptide.

Please add new claims 54-58 as shown below:

54 (NEW). The polypeptide of claim 19, wherein the polypeptide is produced by a method further comprising substantially purifying said polypeptide.

A3  
55 (NEW). A substantially purified polypeptide comprising amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31.

- (b) an amino acid sequence of (a) that inhibits endothelial cell migration.

5 (amended). The substantially purified soluble polypeptide of claim 4, comprising an amino acid sequence selected from the group consisting of:

- (a) an amino acid sequence that is at least 90% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1; and
- (b) an amino acid sequence of (a) that inhibits endothelial cell migration.

6 (amended). The substantially purified polypeptide of claim 4, comprising an amino acid sequence selected from the group consisting of:

- (a) an amino acid sequence selected from the group consisting of:
- from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;
- from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 58 to 404 of SEQ ID NO:4 or 6;
- from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;
- from about amino acid 74 to 404 of SEQ ID NO:4 or 6;
- from about  $x_1$  to 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;
- from about amino acid 58 to 365 of SEQ ID NO:10, 12, or 31; and
- from about amino acid 74 to 365 of SEQ ID NO:10, 12, or 31;
- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and
- (c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration.

8 (amended). The soluble polypeptide according to claim 7, comprising a sequence  $Z_1$ -X- $Z_2$ , wherein  $Z_1$  and  $Z_2$  are each individually an amino acid sequence selected from the group consisting of:

- (a) an amino acid sequence selected from the group consisting of:

56 (NEW). A substantially purified polypeptide that binds nectin-1 and comprises an amino acid sequence that is at least 80% identical to amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31.

57 (NEW). A polypeptide produced by culturing a recombinant host cell genetically engineered to contain a polynucleotide encoding said polypeptide under conditions promoting expression of said polypeptide, wherein said polypeptide comprises an amino acid sequence selected from the group consisting of:

- (a) amino acids  $x_1$  through 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;  
amino acids 58 through 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 404 of SEQ ID NO:4 or 6;  
amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 404 of SEQ ID NO:4 or 6;  
amino acids  $x_1$  through 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;  
amino acids 58 through 365 of SEQ ID NO:10, 12, or 31; and  
amino acids 74 through 365 of SEQ ID NO:10, 12, or 31;
- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and
- (c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration.

58 (NEW). The polypeptide of claim 57, wherein the polypeptide is produced by a method further comprising substantially purifying said polypeptide.

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#### **REMARKS**

The title has been amended to more accurately reflect the elected subject matter. Claims 1-6, 8-9, and 19 have been amended to remove non-elected subject matter, and new claims 54-58 have been added. Support for the amendments to the claims and for the added



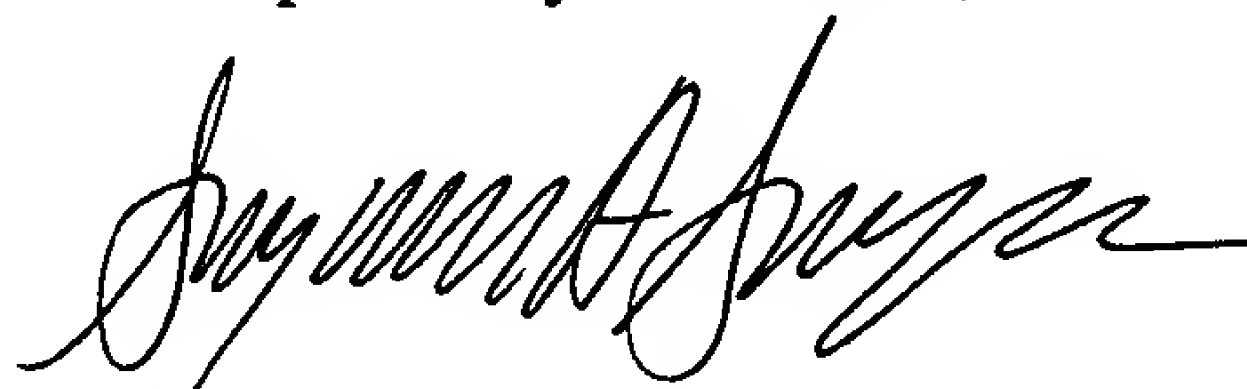
claims is found throughout the specification and the claims as filed, as for example at page 15, line 35; no new matter has been added. A marked-up copy of the amended claims 1-6, 8-9, and 19 showing changes made is presented as Appendix A; a rewritten version of the entire set of pending claims is presented as Appendix B.

Supplemental Information Disclosure Statement

The Examiner is requested to note that a Supplemental Information Disclosure Statement and accompanying form PTO-1449 are being filed herewith.

If a telephone interview would be helpful in advancing the prosecution of this application, Applicants' attorney invites the Examiner to contact her at the number provided below.

Respectfully submitted,



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Law Department  
51 University Street  
Seattle, WA 98101

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents; Washington, D.C. 20231, on the date indicated below.

Date: October 17, 2002

Signed: Kathleen F. Prindle  
Kathleen F. Prindle



**Appendix A**  
**U.S. Serial No. 09/972,268**  
**Marked-Up Version to Show Changes Made**

The title has been amended:

NECTIN POLYPEPTIDES[, POLYNUCLEOTIDES, METHODS OF MAKING  
AND USE THEREOF]

Claims 1-6, 8-9, and 19 are amended:

1 (amended). A substantially purified polypeptide comprising an amino acid sequence [selected from the group consisting of:]

[(a) a polypeptide comprising a sequence ]that is at least 80% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, [24,]and 31[, 34, and 37-39], wherein [the]a polypeptide consisting of said amino acid sequence binds to nectin-1[; and]

[(b) a fragment of (a) that binds to nectin-1].

2 (amended). The substantially purified polypeptide of claim 1, [wherein the polypeptide is]comprising an amino acid sequence [selected from the group consisting of:]

[(a) a polypeptide comprising a sequence ]that is at least 90% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, [24,]and 31[, 34, and 37-39], wherein [the]a polypeptide consisting of said amino acid sequence binds to nectin-1[; and]

[(b) a fragment of (a) that binds to nectin-1].

3 (amended). The substantially purified polypeptide of claim 1, [wherein the polypeptide is]comprising an amino acid sequence selected from the group consisting of:

(a) [a polypeptide comprising a sequence selected from the group consisting of ]SEQ ID NO:2, 4, 6, 8, 10, 12, [24,]and 31[, 34, and 37-39]; and

(b) a fragment of an amino acid sequence of (a) that binds to nectin-1.

4 (amended). A substantially purified soluble polypeptide comprising an amino acid sequence selected from the group consisting of:

(a) [a polypeptide comprising a]an amino acid sequence that is at least 80% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, [24,]and 31[, 34, and 37-39], wherein [the]a polypeptide consisting of said amino acid sequence binds to nectin-1;

[(b) a fragment of (a) that binds to nectin-1;] and

[(c)]b [a fragment]an amino acid sequence of (a) that inhibits endothelial cell migration.

5 (amended). The substantially purified soluble polypeptide of claim 4, [wherein the polypeptide is]comprising an amino acid sequence selected from the group consisting of:

(a) [a polypeptide comprising a]an amino acid sequence that is at least 90% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, [24,]and 31[, 34, and 37-39], wherein [the]a polypeptide consisting of said amino acid sequence binds to nectin-1;

[(b) a fragment of (a) that binds to nectin-1;] and

[(c)]b [a fragment]an amino acid sequence of (a) that inhibits endothelial cell migration.

6 (amended). The substantially purified polypeptide of claim 4, [wherein the polypeptide is]comprising an amino acid sequence selected from the group consisting of:

(a) [a polypeptide comprising a]an amino acid sequence selected from the group consisting of:

from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39[,];

from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 404 of SEQ ID NO:4 or 6[,];

from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 404 of SEQ ID NO:4 or 6[.];

[from about amino acid 189 to 250 of SEQ ID NO:4 or 6, from about amino acid 189 to 342 of SEQ ID NO:4 or 6, from about amino acid 189 to 404 of SEQ ID NO:4 or 6, from about amino acid 287 to 342 of SEQ ID NO:4 or 6, and from about amino acid 287 to 404 of SEQ ID NO:4 or 6;]

[(b) a polypeptide comprising a sequence selected from the group consisting of:]  
from about  $x_1$  to 365 of SEQ ID NO:10, 12, or [12] 31 wherein  $x_1$  is an amino acid between 1 and 39[.];

[from about amino acid 58 to 152 of SEQ ID NO:10 or 12, from about amino acid 58 to 250 of SEQ ID NO:10 or 12, from about amino acid 58 to 342 of SEQ ID NO:10 or 12,]

from about amino acid 58 to 365 of SEQ ID NO:10, 12, or [12] 31[.]; and

[from about amino acid 74 to 152 of SEQ ID NO:10 or 12, from about amino acid 74 to 250 of SEQ ID NO:10 or 12, from about amino acid 74 to 342 of SEQ ID NO:10 or 12,]

from about amino acid 74 to 365 of SEQ ID NO:10, 12, or [12] 31[.];

[from about amino acid 189 to 250 of SEQ ID NO:10 or 12, from about amino acid 189 to 342 of SEQ ID NO:10 or 12, from about amino acid 189 to 365 of SEQ ID NO:10 or 12, from about amino acid 287 to 342 of SEQ ID NO:10 or 12, and from about amino acid 287 to 365 of SEQ ID NO:10 or 12;]

[(c) a polypeptide comprising a sequence selected from the group consisting of  
from about  $x_2$  to 349 of SEQ ID NO:24 or 34 wherein  $x_2$  is an amino acid between 1 and 16, from about amino acid 27 to 350 of SEQ ID NO:36, from about amino acid 44 to 362 of SEQ ID NO:37, from about amino acid 39 to 242 of SEQ ID NO:38, and from about amino acid 44 to 363 of SEQ ID NO:39;]

[(d)]b) a fragment of an amino acid sequence of (a)[, (b), or (c)] that binds to nectin-1;  
and

[(e)]c) a fragment of an amino acid sequence of (a)[, (b), or (c)] that inhibits endothelial cell migration.

8 (amended). The soluble polypeptide according to claim 7, comprising a sequence  $Z_1$ -X- $Z_2$ , wherein  $Z_1$  and  $Z_2$  are each individually [a soluble polypeptide]an amino acid sequence selected from the group consisting of:

(a) [a polypeptide comprising a]an amino acid sequence selected from the group consisting of:



from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39[.];

from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 58 to 404 of SEQ ID NO:4 or 6[.];

from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31[6,];

from about amino acid 74 to 404 of SEQ ID NO:4 or 6[.];

[from about amino acid 189 to 250 of SEQ ID NO:4 or 6, from about amino acid 189 to 342 of SEQ ID NO:4 or 6, from about amino acid 189 to 404 of SEQ ID NO:4 or 6, from about amino acid 287 to 342 of SEQ ID NO:4 or 6, and from about amino acid 287 to 404 of SEQ ID NO:4 or 6;]

[(b) a polypeptide comprising a sequence selected from the group consisting of:]  
from about  $x_1$  to 365 of SEQ ID NO:10, 12, or [12] 31 wherein  $x_1$  is an amino acid between 1 and 39[.];

[from about amino acid 58 to 152 of SEQ ID NO:10 or 12, from about amino acid 58 to 250 of SEQ ID NO:10 or 12, from about amino acid 58 to 342 of SEQ ID NO:10 or 12,]

from about amino acid 58 to 365 of SEQ ID NO:10, 12, or [12] 31[.]; and

[from about amino acid 74 to 152 of SEQ ID NO:10 or 12, from about amino acid 74 to 250 of SEQ ID NO:10 or 12, from about amino acid 74 to 342 of SEQ ID NO:10 or 12,]

from about amino acid 74 to 365 of SEQ ID NO:10, 12, or [12] 31[.];

[from about amino acid 189 to 250 of SEQ ID NO:10 or 12, from about amino acid 189 to 342 of SEQ ID NO:10 or 12, from about amino acid 189 to 365 of SEQ ID NO:10 or 12, from about amino acid 287 to 342 of SEQ ID NO:10 or 12, and from about amino acid 287 to 365 of SEQ ID NO:10 or 12;]

[(c) a polypeptide comprising a sequence selected from the group consisting of  
from about  $x_2$  to 349 of SEQ ID NO:24 or 34 wherein  $x_2$  is an amino acid between 1 and 16, from about amino acid 27 to 350 of SEQ ID NO:36, from about amino acid 44 to 362 of SEQ ID NO:37, from about amino acid 39 to 242 of SEQ ID NO:38, and from about amino acid 44 to 363 of SEQ ID NO:39;]

[(d)b] a fragment of an amino acid sequence of (a)[, (b), or (c)] that binds to nectin-1;  
and

[(e)c] a fragment of an amino acid sequence of (a)[, (b), or (c)] that inhibits  
endothelial cell migration[.];

and X is a peptide linker.

9 (amended). The soluble polypeptide of claim 4, wherein the polypeptide comprises a  
sequence selected from the group consisting of SEQ ID NO:13, 14, 15, and 16[, and 36].

19 (amended). A polypeptide of claim 4 produced by culturing [the]a recombinant host cell  
[of claim 17]genetically engineered to contain a polynucleotide encoding the polypeptide of  
claim 4 under conditions [to ]promot[e]ing expression of [the]said polypeptide.

**Appendix B**  
**U.S. Serial No. 09/972,268**  
**Rewritten Version of Pending Claims as of October 2002**

Claims 12-18 and 20-53: Canceled.

Claims 1-6, 8-9, and 19: Amended.

Claims 54-58: Added.

1 (amended). A substantially purified polypeptide comprising an amino acid sequence that is at least 80% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1.

2 (amended). The substantially purified polypeptide of claim 1, comprising an amino acid sequence that is at least 90% identical to at least 20 contiguous amino acids of a sequence selected from the group consisting of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1.

3 (amended). The substantially purified polypeptide of claim 1, comprising an amino acid sequence selected from the group consisting of:

- (a) SEQ ID NO:2, 4, 6, 8, 10, 12, and 31; and
- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1.

4 (amended). A substantially purified soluble polypeptide comprising an amino acid sequence selected from the group consisting of:

- (a) an amino acid sequence that is at least 80% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1; and
- (b) an amino acid sequence of (a) that inhibits endothelial cell migration.

5 (amended). The substantially purified soluble polypeptide of claim 4, comprising an amino acid sequence selected from the group consisting of:



(a) an amino acid sequence that is at least 90% identical to at least 20 contiguous amino acids of the extracellular domain of SEQ ID NO:2, 4, 6, 8, 10, 12, and 31, wherein a polypeptide consisting of said amino acid sequence binds to nectin-1; and

(b) an amino acid sequence of (a) that inhibits endothelial cell migration.

6 (amended). The substantially purified polypeptide of claim 4, comprising an amino acid sequence selected from the group consisting of:

(a) an amino acid sequence selected from the group consisting of:

from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;

from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 58 to 404 of SEQ ID NO:4 or 6;

from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;

from about amino acid 74 to 404 of SEQ ID NO:4 or 6;

from about  $x_1$  to 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;

from about amino acid 58 to 365 of SEQ ID NO:10, 12, or 31; and

from about amino acid 74 to 365 of SEQ ID NO:10, 12, or 31;

(b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and

(c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration.

7. A soluble polypeptide according to claim 4, further comprising a leucine zipper polypeptide, an Fc polypeptide, or a peptide linker.

8 (amended). The soluble polypeptide according to claim 7, comprising a sequence  $Z_1$ -X- $Z_2$ , wherein  $Z_1$  and  $Z_2$  are each individually an amino acid sequence selected from the group consisting of:

(a) an amino acid sequence selected from the group consisting of:

from about  $x_1$  to 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;

from about amino acid 58 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 58 to 404 of SEQ ID NO:4 or 6;  
from about amino acid 74 to 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
from about amino acid 74 to 404 of SEQ ID NO:4 or 6;  
from about  $x_1$  to 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;  
from about amino acid 58 to 365 of SEQ ID NO:10, 12, or 31; and  
from about amino acid 74 to 365 of SEQ ID NO:10, 12, or 31;

- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and
- (c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration;

and X is a peptide linker.

9 (amended). The soluble polypeptide of claim 4, wherein the polypeptide comprises a sequence selected from the group consisting of SEQ ID NO:13, 14, 15, and 16.

10. A composition comprising a polypeptide of claim 1 and a pharmaceutically acceptable carrier.

11. A composition comprising a polypeptide of claim 4 and a pharmaceutically acceptable carrier.

19 (amended). A polypeptide of claim 4 produced by culturing a recombinant host cell genetically engineered to contain a polynucleotide encoding the polypeptide of claim 4 under conditions promoting expression of said polypeptide.

54 (NEW). The polypeptide of claim 19, wherein the polypeptide is produced by a method further comprising substantially purifying said polypeptide.

55 (NEW). A substantially purified polypeptide comprising amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31.

56 (NEW). A substantially purified polypeptide that binds nectin-1 and comprises an amino acid sequence that is at least 80% identical to amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31.

57 (NEW). A polypeptide produced by culturing a recombinant host cell genetically engineered to contain a polynucleotide encoding said polypeptide under conditions promoting expression of said polypeptide, wherein said polypeptide comprises an amino acid sequence selected from the group consisting of:

- (a) amino acids  $x_1$  through 404 of SEQ ID NO:4 or 6 wherein  $x_1$  is an amino acid between 1 and 39;  
amino acids 58 through 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 58 through 404 of SEQ ID NO:4 or 6;  
amino acids 74 through 152 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 250 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 342 of SEQ ID NO:4, 6, 10, 12, or 31;  
amino acids 74 through 404 of SEQ ID NO:4 or 6;  
amino acids  $x_1$  through 365 of SEQ ID NO:10, 12, or 31 wherein  $x_1$  is an amino acid between 1 and 39;  
amino acids 58 through 365 of SEQ ID NO:10, 12, or 31; and  
amino acids 74 through 365 of SEQ ID NO:10, 12, or 31;

- (b) a fragment of an amino acid sequence of (a) that binds to nectin-1; and
- (c) a fragment of an amino acid sequence of (a) that inhibits endothelial cell migration.

58 (NEW). The polypeptide of claim 57, wherein the polypeptide is produced by a method further comprising substantially purifying said polypeptide.